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#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on December 10, 2001.

Attorney for Applicant(s)

PATENT APPLICATION
Docket No.: DE920000124US1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	Christian Bolik, Peter Gemsjaeger, and Klaus Schroiff	)	825 1
Serial No.:	Not yet assigned	) ) Croup Art	/015 /015
Filing Date:	December 10, 2001	) Group Art ) Unit:	1c58
For:	METHOD AND SYSTEM FOR SCALABLE, HIGH PERFORMANCE HIERARCHICAL STORAGE MANAGEMENT	)	

# INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

This Information Disclosure Statement discloses information which has come to the attention of applicant and his attorneys and is being submitted so as to comply with the duty of disclosure set forth in 37 C.F.R. § 1.56. In accordance with 37 C.F.R. § 1.97(b), this Statement is being filed within three (3) months of the filing date of the above-identified application or before the mailing date of a first Action on the merits.

Neither applicant nor his attorneys make any representation that any information disclosed herein may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103. Moreover, pursuant to 37 C.F.R. § 1.97, the filing of this Information Disclosure Statement

shall not be construed as a representation that a search has been made or as an admission that the

information cited herein is, or is considered to be, material to patentability as defined in 37

C.F.R. § 1.56(b).

In accordance with 37 C.F.R. § 1.98, this Information Disclosure Statement includes and

is accompanied by:

1. A completed copy of Form PTO-1449 listing the patents, publications and other

information being submitted for consideration; and

2. A legible copy of each patent, publication and other item of information in written

form listed on the enclosed Form PTO-1449.

**NON-ENGLISH INFORMATION** 

Pursuant to 37 C.F.R. § 1.98, following is a concise explanation of the relevance (as it is

presently understood by the individual designated in 37 C.F.R. § 1.56(c) most knowledgeable

about the content of the information), of each listed patent, publication or other information that

is not in the English language. Respectfully submitted,

Brian C. Kunzler

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FORM PTO-1449	SERIAL NO.  Not yet assigned	ATTORNEY DOCKET NO. DE920000124US1	
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE December 10, 2001	GROUP ART UNIT	TA
(use several sheets if necessary)	APPLICANT(S): Christian Bolik, Peter Gemsjaeger, and Klaus Schroiff		U.S.
			580

### REFERENCE DESIGNATION

#### **U.S. PATENT DOCUMENTS**

EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,367,698	11/22/94	Webber et al.	395/800	10/31/91
	A2	5,832,522	11/03/98	Blickenstaff et al.	707/204	05/22/96

# **FOREIGN PATENT DOCUMENTS**

EXAMINE	DOCUMENT			CLASS/	TRANSLATION	
INITIAL	NUMBER	DATE	COUNTRY	SUBCLASS	YES	NO

# **NON-PATENT DOCUMENTS**

EXAMINE R INITIAL		DOCUMENT (Including Author, Title, Source, and Pertinent Pages
	A3	Brawn, B. Hildebrad, Data Migration and Staging Facility, TDB 06-73 p205-208

EXAMINER	DATE CONSIDERED